

# Architecture for the photonic integration of an optical atomic clock: supplementary material

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## 1. Microfabricated clock components

### 1.1 Microfabricated vapor cell

The rubidium vapor cell is composed of a 10×10×3 mm silicon frame sandwiched between two 700 μm-thick aluminosilicate glass pieces. The silicon frame is fabricated by deep reactive ion etching of a blank silicon wafer and features a main chamber 3×3 mm and an ancillary chamber 1.5×1.5 mm that are connected through 125 μm-wide baffles. The glass windows are anodically bonded to the silicon frame. Before bonding the second window under vacuum, a rubidium dispensing pill (natural abundance) is introduced in the ancillary chamber along with a piece of non-evaporable getter. Rubidium is then released into the cell by heating the dispensing pill with a focused laser beam [1]. Helium diffusion through the glass windows (up to atmospheric concentration) as the cell ages accounts for ≈100 kHz of broadening and <10 kHz of broadening is due to unwanted gases that arise during the cell bonding and filling process not pumped by the getter [2].

We have measured the absolute frequency of our clock to be  $\nu \approx 385\,284\,566\,347\,789 \pm 30$  Hz, which corresponds to a frequency shift from the accepted value of the two-photon transition frequency of  $\Delta\nu \approx 22.7$  kHz [3] and is primarily due to the light shift and the collision shift. Table (S1) gives a conservative estimate of the uncertainty budget for our clock. We have measured the light

shift to be ≈1.5 kHz/mW, resulting in a ≈23.4 kHz shift for the 15.6 mW of clock laser power. We have also measured the Rb-Rb self-collision shift to be ≈192.6 Hz/K near the cell temperature (100°C) which is consistent with Ref. [2]. Accounting for these shifts, we measure an absolute frequency shift of  $\Delta\nu \approx 2.9 \pm 7.9$  kHz relative to Ref. [3], or a fractional frequency shift of  $\Delta\nu/\nu \approx 8 \times 10^{-12}$ . We expect a ≈4 kHz collision shift from helium diffusion and we determine the maximum background gas pressure shift by applying the shift/broadening rates measured in Ref. [2] to our estimate of background gas pressure. Both the helium collision shift and the background gas collision shift are consistent with our ≈100 kHz collision broadening measurement.

Based on our linewidth measurements we estimate that the background gas pressure in a given cell could vary up to ≈1 mTorr and is the main contribution to the uncertainty budget for our clock. With improvements in cell fabrication techniques, both the helium and background gas shifts could, in principle, be reduced significantly. We expect electronic shifts due to residual amplitude modulation of the clock laser and offsets at the output of the lock-in detector used in the atomic lock to influence the clock frequency. Although these were not measured, we estimate the uncertainty in the clock frequency due to electronic shifts by measuring the slope of the error signal used to lock the clock laser to the atoms and multiplying by the output offset of the lock-in detector.

Fig. S1 summarizes the record of absolute frequency measurements of the  $^{87}\text{Rb}$ ,  $5S \rightarrow 5D$ ,  $F=2 \rightarrow F'=4$  worldwide.

Frequency measurements prior to 2005 were made using a frequency chain while measurements made thereafter were made with an optical frequency comb.

### 1.2 Silica microresonator

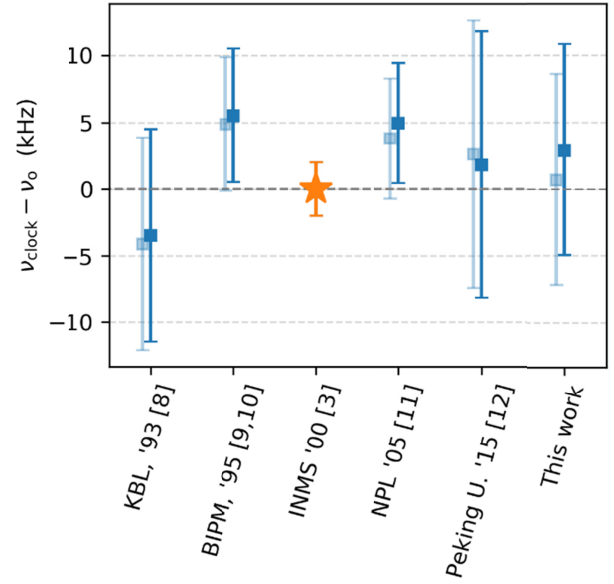
Fig. 1c shows an image of the wedged, 21.97 GHz free-spectral range silica ( $\text{SiO}_2$ ) microresonator used to measure the repetition rate of our self-referenced  $\text{Si}_3\text{N}_4$  microcomb. The design, fabrication and implementation of the silica comb has been described in detail elsewhere [4]. Briefly, the microresonator is fabricated by thermally growing a layer of  $\text{SiO}_2$  on a silicon substrate. The silica layer is then shaped into a wedge-resonator by lithographically patterning a photoresist material and etching the silica with a hydrofluoric acid solution. As a final step, the pedestal is formed by applying a  $\text{XeF}_2$  dry etch to the silicon substrate.

### 1.3 $\text{Si}_3\text{N}_4$ microresonator

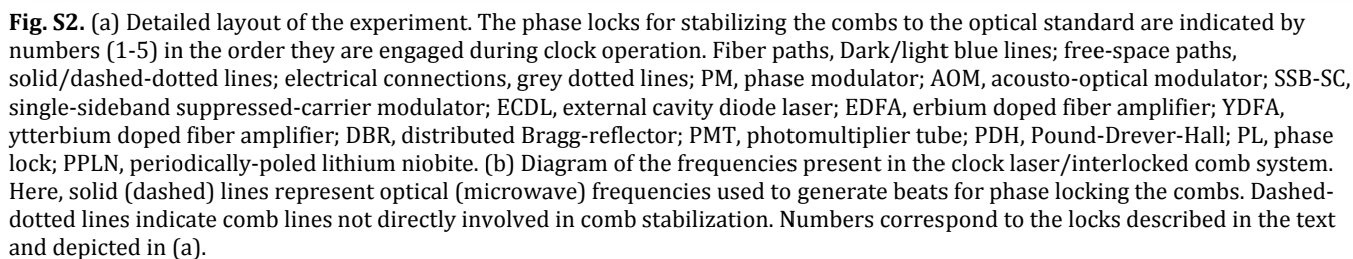
Fig. 1b shows an SEM image of the  $\text{Si}_3\text{N}_4$  (SiN) microresonator which is described in detail in Briles et al. [5]. The SiN resonator is fabricated by first depositing a thin layer ( $\approx 615$  nm) of  $\text{Si}_3\text{N}_4$  on a thermally-oxidized silicon wafer using low-pressure, chemical-vapor deposition. The  $46\text{ }\mu\text{m}$  diameter ring resonator and coupling waveguides are then patterned using electron-beam lithography and formed using a dry etching process.

As is the case with the silica resonator, the SiN comb characteristics depend strongly on the resonator dispersion, which we control via the resonator geometry. For example, the carrier offset frequency can be controlled by varying the ring radius while the spectral location of the dispersive waves (as in Fig. 2) can be controlled by varying the thickness of the  $\text{Si}_3\text{N}_4$  layer, the width of the ring and the final resonator cladding (air or oxide layer).

Shift	Frac. Coef.	Shift (kHz)	Frac. Freq. Unc.
AC Stark (meas.)	$-3.9 \times 10^{-12}/\text{mW}$	$-6.1 \times 10^{-11}$	$7.8 \times 10^{-15}$
Rb-Rb coll. (meas.) [3]	$-5 \times 10^{-13}/\text{K}$	$-8.3 \times 10^{-12}$	$5.0 \times 10^{-12}$
Bckgnd. gas coll. [3]			
Helium	$5.5 \times 10^{-9}/\text{Torr}$	$1.0 \times 10^{-11}$	$2.2 \times 10^{-12}$
Other (e.g. $\text{N}_2$ )	$-3.5 \times 10^{-8}/\text{Torr}$	$-3.9 \times 10^{-12}$	$1.7 \times 10^{-11}$
2 <sup>nd</sup> -order Zeeman [4]	$6.5 \times 10^{-11}/\text{G}^2$	$5.2 \times 10^{-15}$	$6.5 \times 10^{-15}$
2 <sup>nd</sup> -order Doppler	$-1.0 \times 10^{-15}/\text{K}$	$-3.6 \times 10^{-13}$	$1.0 \times 10^{-18}$
Blackbody rad. [5]	$-1.3 \times 10^{-15}/\text{K}$	$-4.3 \times 10^{-13}$	$1.5 \times 10^{-15}$
Electronic	$\sim 3.2 \times 10^{-12}/\text{mV}$		$\sim 1 \times 10^{-11}$
<b>Total frac. uncer.</b>			<b><math>2 \times 10^{-11}</math></b>



**Fig. S1.** Absolute frequency measurements of the  $5S_{1/2} \rightarrow 5D_{5/2}$ ,  $F=2$  to  $F'=4$  transition in  $^{87}\text{Rb}$  relative to the BIPM adopted value for this transition (orange star) [3]. The light blue, offset squares show the absolute frequencies reported in the literature [3, 8–12]; darker blue squares show the corrected frequencies normalized to the rubidium vapor pressure from Ref. [3].



## 2.1 Stabilized 778 nm laser system

The output of the clock laser is sent through an optical isolator and coupled into a polarization maintaining single mode optical fiber for spatial mode filtering. Following the fiber, a liquid crystal modulator is used to stabilize the optical power used to probe the rubidium atoms. The linearly polarized probe light is then focused to a spot size of  $224\text{ }\mu\text{m}$  on the back window of the cell and retroreflected by the high-reflectivity coating on the back window.

We typically operate the rubidium standard with  $\approx 16$  mW of optical probe power. Fluorescence at 420 nm from the two-photon transition is collected along the probe beam axis with a pair of aspheric condenser lenses and a 420 nm bandpass interference filter and detected using a commercially available, microfabricated PMT.

To achieve a sufficient atomic density, the cell is heated to 100°C using a resistive heater driven with an alternating current to avoid magnetic bias fields near the cell. We stabilize the cell temperature to within 10 mK by feeding back to the amplitude of the alternating current. In addition, the heated cell mount, the PMT and the detection optics are housed inside a two-layer magnetic shield to minimize first order Zeeman shifts from stray magnetic field in the laboratory environment.

We stabilize the clock laser to the two-photon fluorescence spectrum using frequency modulation spectroscopy (Fig. S2: #1). The frequency of the clock laser is modulated at 10 kHz by directly modulating the DBR laser current with a modulation depth corresponding to a frequency excursion of  $\approx 1$  MHz. We generate an error signal using a lock-in amplifier to demodulate the observed fluorescence signal and lock the laser frequency by feeding back directly to the laser current.

As mentioned in the main text, we believe the short-term stability of our clock is limited by intermodulation noise from the DBR clock laser. The stability limit of a frequency standard due to the intermodulation effect can be approximated by [13]:

$$\sigma_y \sim [S_y(2f_{\text{mod}})/4\tau]^{1/2} \quad (\text{S1})$$

where  $S(2f_{\text{mod}})$  is the oscillator frequency noise at twice the modulation frequency, in this case  $f_{\text{mod}} = 10$  kHz. Fig. 4c shows a frequency noise spectrum of the DBR laser measured by beating against the fiber frequency comb. The DBR laser frequency noise at  $2f_{\text{mod}}$  is  $2 \times 10^6$  Hz<sup>2</sup>/Hz, which corresponds to an intermodulation limited stability of  $\sigma_y \sim 2 \times 10^{-12}/\tau^{1/2}$  and is consistent with our measured short-term stability. The short-term stability can be improved by utilizing low-noise sources for the clock laser. In fact, we have measured fractional stabilities of  $\approx 4.5 \times 10^{-13}/\tau^{1/2}$  using a commercial, 778 nm external cavity diode laser.

Roughly  $\approx 1$ -2 mW of the stabilized clock laser is sent through an optical fiber and used to stabilize the pump frequency of the two microcombs. Additionally,  $\approx 1$  mW of the clock light is directed into a second optical fiber and beat against an auxiliary 250 MHz repetition rate, erbium fiber frequency comb to directly monitor the clock laser optical frequency.

## 2.2 Dual comb stabilization procedure

Our technique for generating single soliton combs in microresonators is described in detail in Stone et al. [14]. Briefly, we create a soliton frequency comb in the resonator by sweeping the pump frequency through the cavity resonance (from blue to red) with a single side band-suppressed carrier (SSB-SC) electro-optic phase modulator. The cavity supports the formation of solitons for a limited range of pump-resonator detunings, known as the soliton existence range, which is proportional to the linewidth of the cavity [15, 16]. As light is coupled into the resonator, the cavity resonance frequency shifts due to the thermo-optic effect [17]. As long as the thermal frequency drift stays within the soliton existence range, open loop control of the

cavity detuning is sufficient to maintain a soliton. This is the case for the SiN resonator.

The linewidth of the silica resonator is substantially narrower, and, as a result, we lock the cavity detuning to compensate for the thermally induced shift. To accomplish this, RF sidebands are applied to the pump frequency and used to generate a Pound-Drever-Hall (PDH) error signal that is measured on a photo-detector at the output of the comb. We stabilize the soliton comb by locking the pump frequency detuning from the cavity resonance by feeding back to the setpoint of the SSB-SC modulator (Fig. S2: #2). The lock point of the PDH servo corresponds to the high-frequency PDH sideband and results in a pump frequency that is red-detuned from the cavity resonance by the RF modulation frequency, which is required for soliton generation. The modulation frequency (and resulting cavity detuning) is chosen such that the pump frequency is nominally independent of changes in the cavity detuning. The center frequency of the soliton comb can then be controlled by thermally tuning the optical path length of the cavity with an external heater.

During clock operation we frequency double the silica comb pump light to 778 nm and beat it against the rubidium stabilized DBR laser light (Fig. S2: lock #3). The  $\approx 1.5$  GHz ( $\alpha f_{10 \text{ MHz}}$ ) beat note between the two lasers is used to phase lock the comb pump light by feeding back to an acousto-optical modulator (AOM) and controlling the intracavity power which, in turn, thermally shifts the cavity resonance. Next, we phase lock (Fig. S2: #4) the SiN comb tooth  $\nu_{\text{THz, pump-2}}$  to  $\nu_{\text{GHz pump}}$  by controlling the pump frequency with the SSB-SC ( $\beta f_{10 \text{ MHz}}$ ). The SiN comb is self-referenced (Fig. S2: #2a) by locking an auxiliary 2-micron ECDL to the SiN comb mode at 1998 nm, frequency doubling this light, beating the doubled light with the SiN comb tooth at 999 nm and feeding back to the SiN comb pump laser power with a SSB modulator ( $\delta f_{10 \text{ MHz}}$ ).

Finally, we phase lock (Fig. S2: #5) the silica comb tooth  $\nu_{\text{GHz pump-48}}$  to the  $\nu_{\text{THz, pump-3}}$  tooth of the SiN comb ( $\gamma f_{10 \text{ MHz}}$ ) by controlling the RF sideband modulation frequency, and thus, the silica comb pump detuning, which is strongly coupled to the comb repetition rate via the soliton self-frequency shift [17].

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